

# **Efficient Numerical Modeling of Random Rough Surface Effects in Interconnect Internal Impedance Extraction**

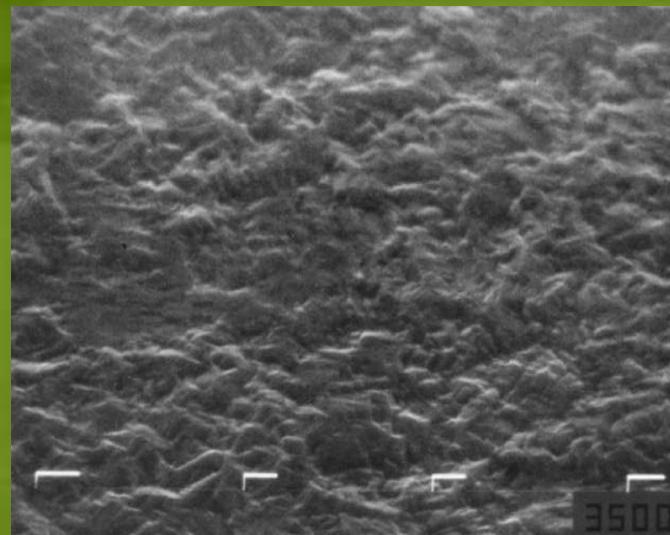
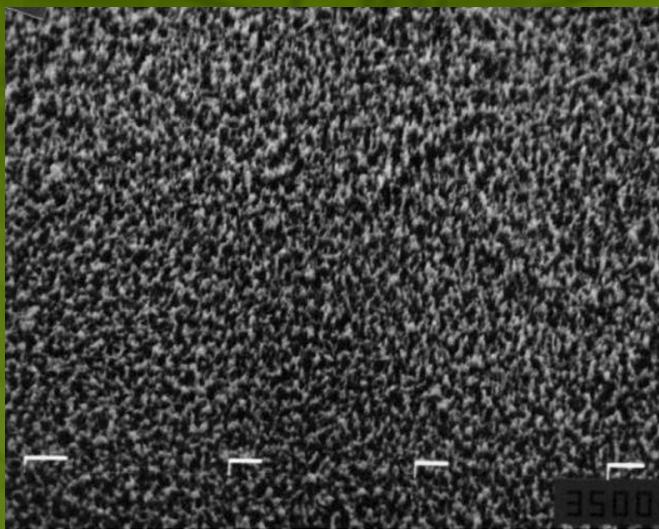
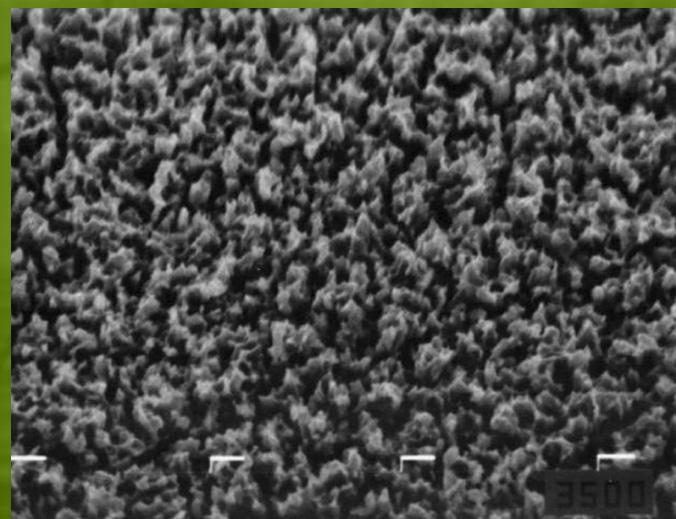
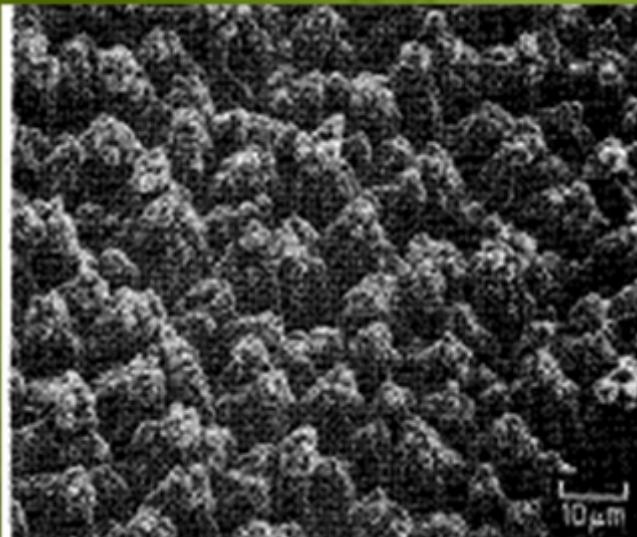
**CHEN Quan & WONG Ngai**

**Department of Electrical & Electronic Engineering  
The University of Hong Kong**

# Outline

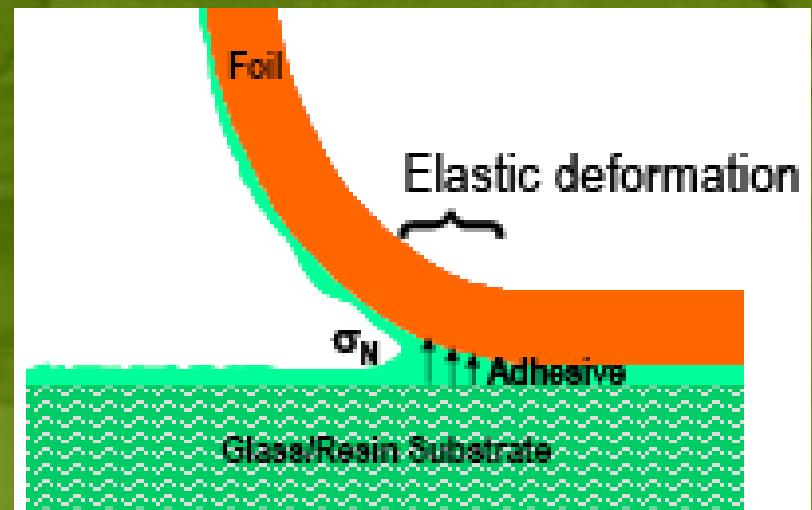
- Background
- Modeling (Effective Parameters)
- Computation (Modified SIE Method)
- Results
- Conclusion

# Surface Roughness in Interconnects



# Sources of Surface Roughness

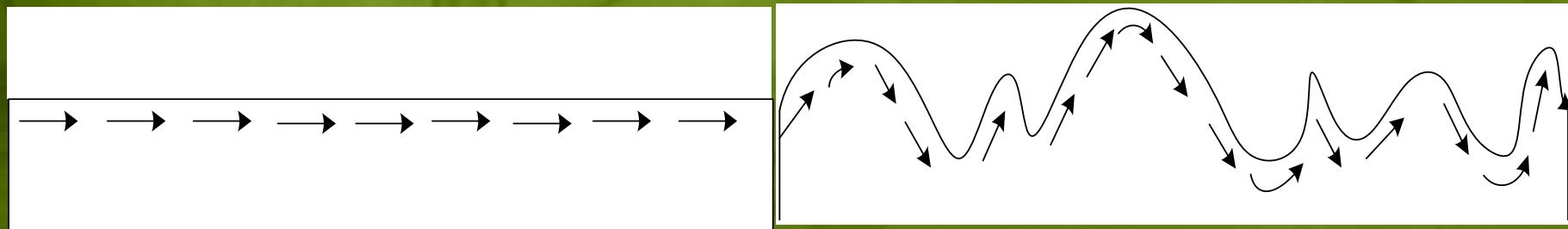
- Unintentional sources
  - Technology limitations
  - Process variations
- Intentional sources
  - Electronic deposition
  - Chemical etching
  - Annealing



Enhance the cohesion between metal and dielectric

# Impact of Surface Roughness on Internal Impedance

Interaction between rough surface and current



Current under smooth surface

Current under rough surface

Longer  
current path

More  
resistive loss

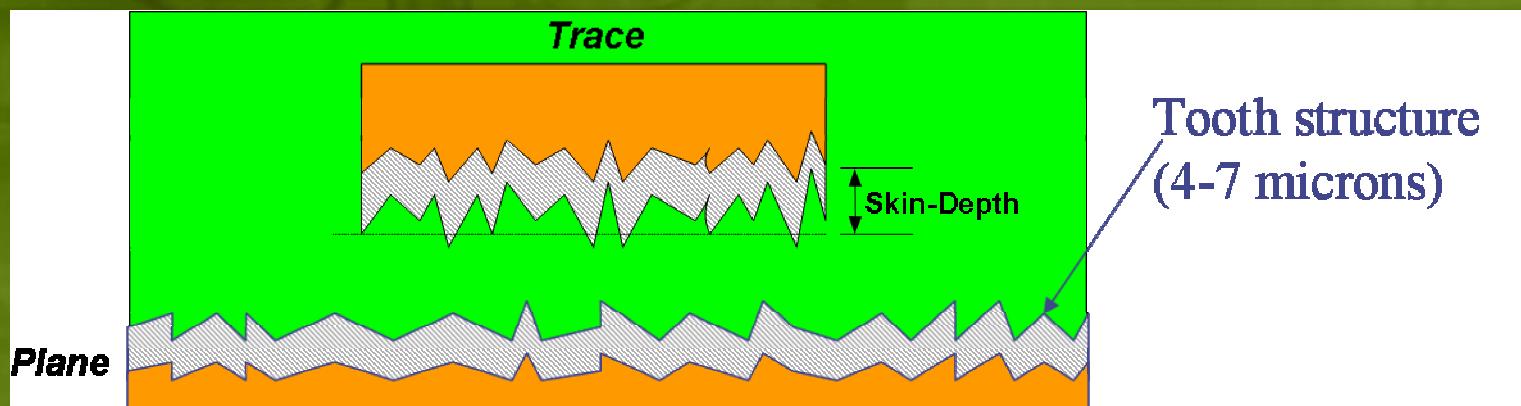
Higher  
resistance

Larger  
current loop

Higher internal  
inductance

# High Frequency Effects

- Rough surface effects is insignificant in low frequencies (large skin depth, small roughness)
- It becomes significant in high frequencies (comparable skin depth and roughness)



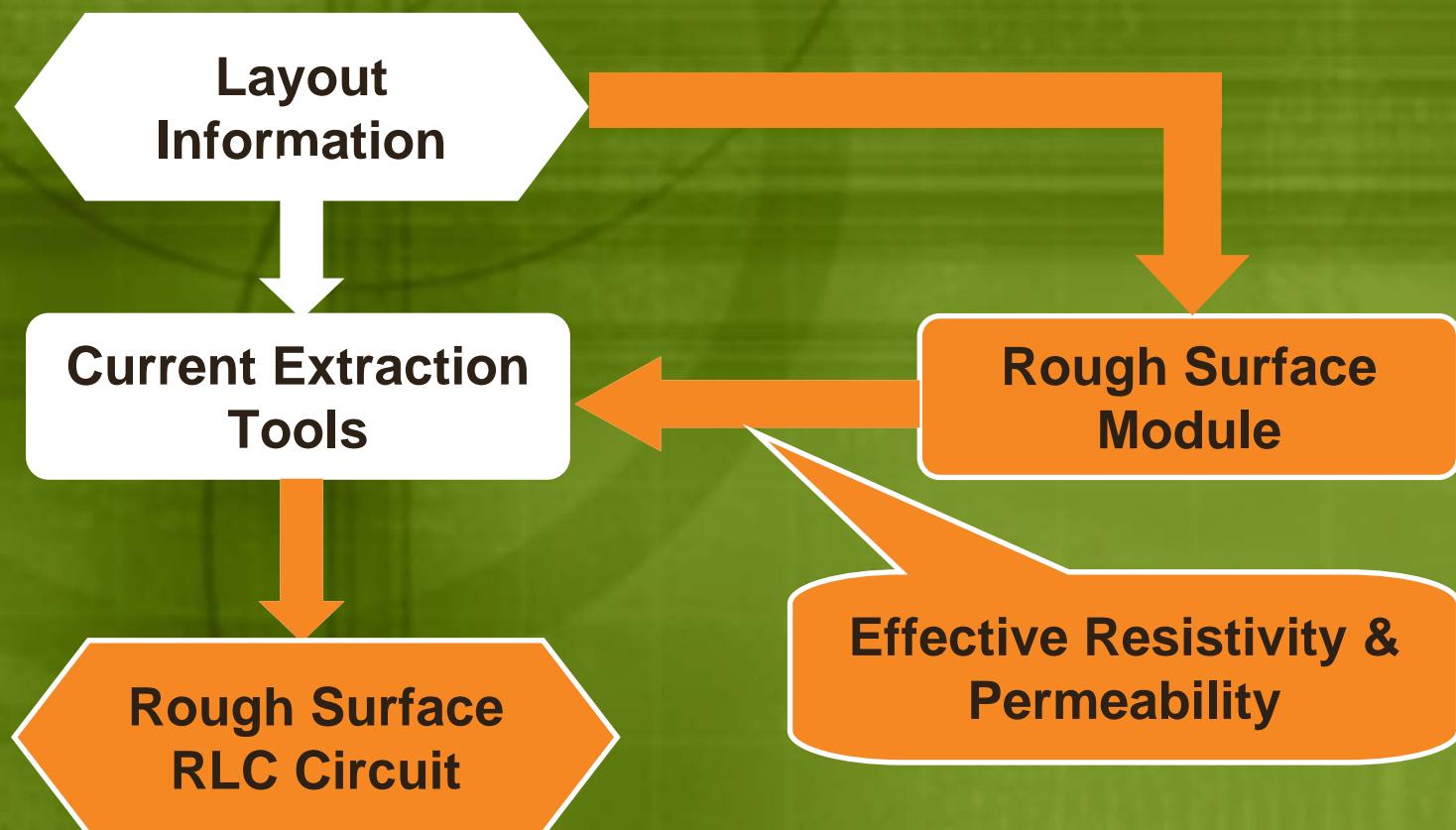
Skin depth = 6.5microns when  $f = 0.1$  GHz (From Intel)

# **Modeling**

Model the impact of random rough surface on interconnect internal impedance

# Effective Parameters

- Effective Resistivity  $\rho_e$  & Effective Permeability  $\mu_e$
- Capture the increase of resistance and internal inductance caused by surface roughness



# Analytical Formulation

- For effective resistivity

$$\rho_e = \rho \left[ 1 + \frac{2}{\pi} \tan^{-1} \left( 1.4 \frac{h}{\delta} \right)^2 \right]$$

- h – RMS height;  $\delta$  - skin depth
- Widely used in practical design, BUT
- Inaccurate (only h is considered)

- For effective permeability
  - Unavailable

# Numerical Formulation of $\rho_e$

Smooth surface power loss

$$P_s = \frac{\rho |H_0|^2 l}{2\delta}$$

Rough surface power loss

$$P_r = \frac{\rho H_0^*}{2} \operatorname{Re} \left\{ \int_{\tilde{S}} dz U(z) \right\}$$

Power loss equivalence  $P_s = P_r$

$$\rho_e = \frac{2\delta P_r}{|H_0|^2 l} = \frac{\rho \delta}{H_0 l} \operatorname{Re} \left\{ \int_{\tilde{S}} dz U(z) \right\}$$


The diagram shows a top-down view of a rectangular area labeled  $V$ . Above this area, there is a surface labeled  $S$ , which is represented by a white trapezoid. A small orange rectangle is placed on the surface  $S$ .

# Numerical Formulation of $\mu_e$

Smooth surface magnetic energy

$$W_s = \frac{\mu\delta|H_0|^2 l}{2}$$

Rough surface magnetic energy

$$W_r = \frac{\mu\delta^2 H_0^*}{2} \operatorname{Im} \left\{ \int_{\tilde{S}} dz U(z) \right\}$$

Magnetic energy equivalence  $W_s = W_r$

$$\mu_e = \frac{2W_r}{\delta|H_0|^2 l} = \frac{\mu\delta}{H_0 l} \operatorname{Im} \left\{ \underbrace{\int_{\tilde{S}} dz U(z)}_V \right\}$$

# Governing Equation

$$\int_{\tilde{S}} dz G(z, z') U(z) = \frac{1}{2} H_0 + H_0 \int_{cp} dz \sqrt{1 + \left( \frac{\partial y(z)}{\partial z} \right)^2} \frac{\partial G(z, z')}{\partial \hat{n}}$$

Green's function       $G(z, z') = H_0^{(1)}(k_1 \sqrt{|z - z'|})$

Surface unknown       $U(z) = \sqrt{1 + \left( \frac{\partial y(z)}{\partial z} \right)^2} \frac{\partial H(z)}{\partial \hat{n}}$

Boundary condition

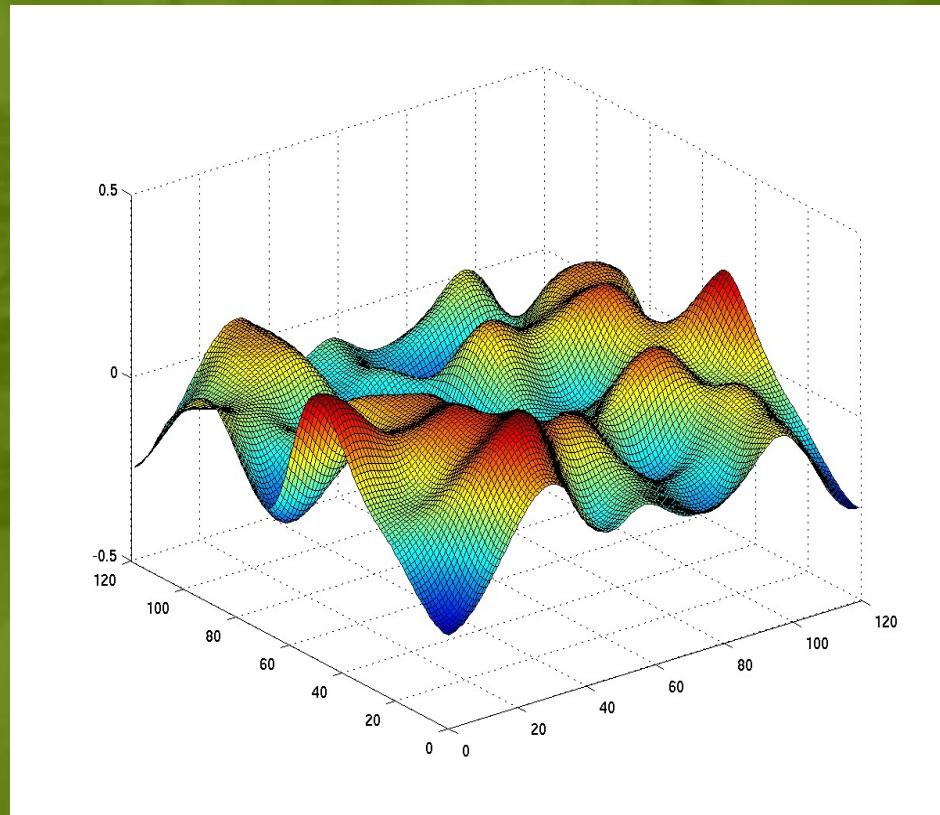
$$H(r) = H_0 \quad r \in S$$

# Modeling of Random Rough Surface

- Most rough surfaces in reality are random
- Described by stationary stochastic process with Probability Density Function and Correlation Function

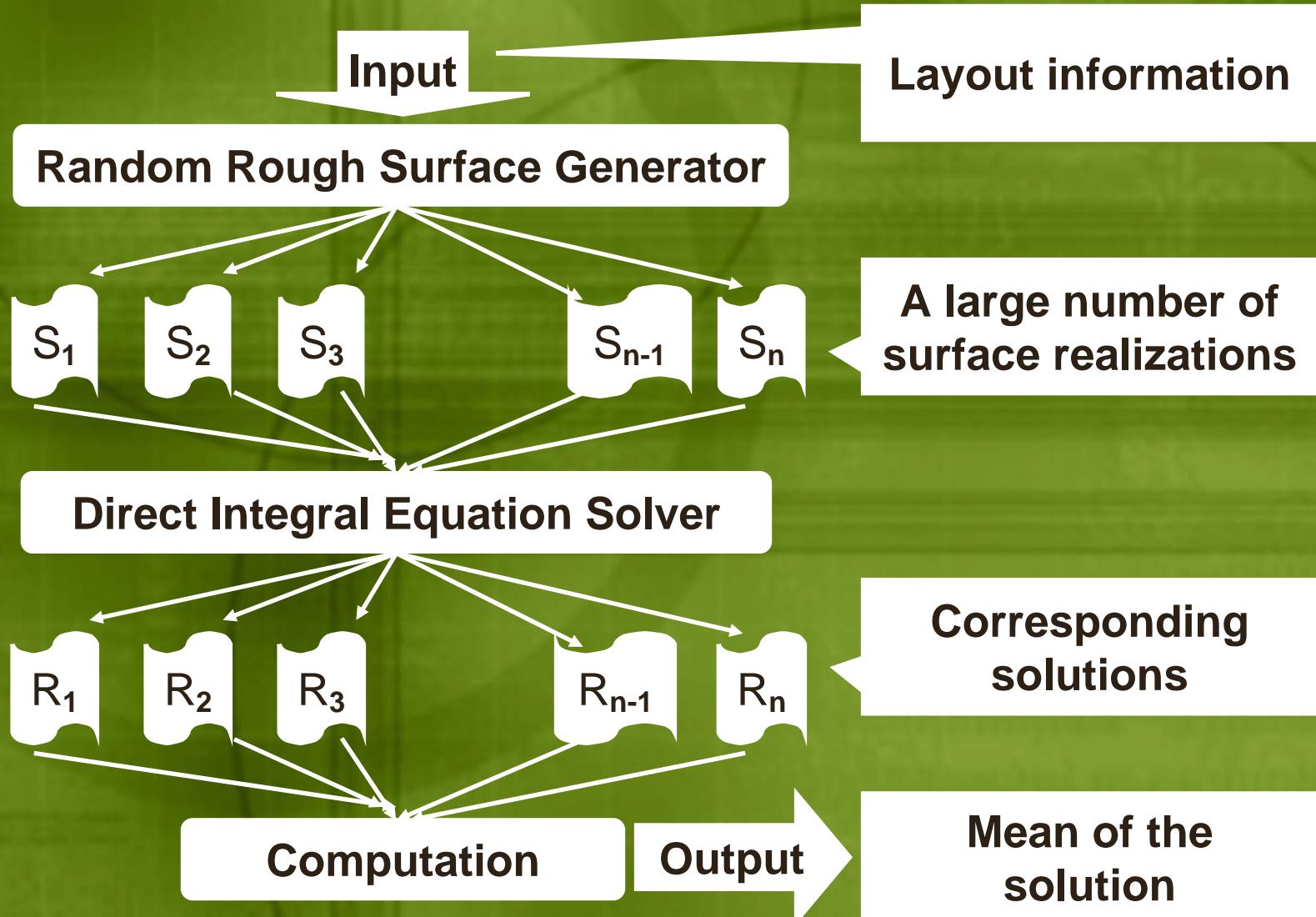
$$P_1(y) = \frac{1}{\sqrt{2\pi}h} \exp\left(-\frac{y^2}{2h^2}\right)$$
$$C_g(z_1, z_2) = \exp\left(-\frac{|z_1 - z_2|^2}{\eta^2}\right)$$

$\eta$  --- correlation length



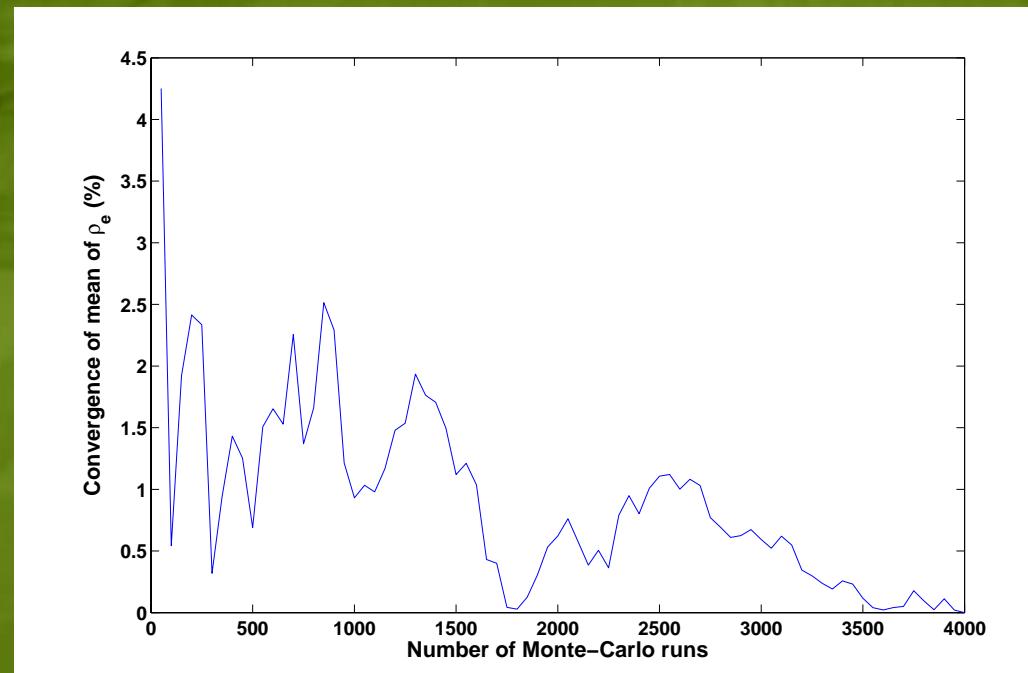
# Conventional Statistical Solver

## -- Monte-Carlo Method



# Limitations of Monte-Carlo method

- Probabilistic nature
  - Mean value is also a random variable
- Slow convergence
  - More than 2500 runs to converge within 1%



# Computation

Efficient Stochastic Integral Equation  
(SIE) method

- **Stochastic Integral Equation (SIE) method**
  - Use mean value as unknown
  - One-pass solution
  - Deterministic nature
- **Two steps**
  - Zeroth-order approximation  
(Uncorrelatedness assumption )
  - Second-order correction

# Zeroth-Order Approximation

Directly applying ensemble average on both sides

$$\int_{\tilde{S}} dz \left\langle G(z, z') U(z) \right\rangle = \frac{1}{2} H_0 + H_0 \int_{cp} dz \left\langle \sqrt{1 + \left( \frac{\partial y(z)}{\partial z} \right)^2} \frac{\partial G(z, z')}{\partial \hat{n}} \right\rangle$$

Ensemble average       $\langle f(x) \rangle = \int_{-\infty}^{+\infty} P_1(f(x)) f(x)$

Assuming the Green's function  $G$  and the surface unknown  $U$  are statistically independent  
(Uncorrelatedness Assumption)

$$\int_{\tilde{S}} dz \left\langle G(z, z') \right\rangle \left\langle U(z) \right\rangle = \frac{1}{2} H_0 + H_0 \int_{cp} dz \left\langle \sqrt{1 + \left( \frac{\partial y(z)}{\partial z} \right)^2} \frac{\partial G(z, z')}{\partial \hat{n}} \right\rangle$$

New  
unknown

# Zeroth-order Approximation

Matrix equation format

$$\langle G(z, z') \rangle$$

$$\bar{A} \vec{U} = \vec{L}$$

$$\langle U(z) \rangle$$

Deterministic  
quantities

$$\bar{A}_{ik} = \langle G(z_i, z_k) \rangle = \iint dy_i dy_k P_2(y_i, y_k) G(y_i, y_k; z_i, z_k)$$

Inaccurate zeroth-order approximation

$$\bar{U}^{(0)} = \bar{A}^{-1} \bar{L}$$

$$\bar{V}^{(0)} = \bar{L}^T \bar{U}^{(0)}$$

Cause: uncorrelatedness assumption

$$\langle G(z, z') U(z) \rangle \neq \langle G(z, z') \rangle \langle U(z) \rangle$$

# Primitive Second-Order Correction

- Improve the accuracy of the mean  $\bar{V}$

$$\bar{V} = \bar{V}^{(0)} + \bar{V}^{(2)}$$

Zeroth-order  
approximation term

Second-order  
correction term

$$\begin{aligned}\bar{V}^{(2)} &= \text{trace}(\bar{A}^{-T} D) \\ \text{vec}(D) &= \underbrace{\left\langle (A - \bar{A}) \otimes (A - \bar{A})^T \right\rangle}_{F_{N^2 \times N^2}} (\bar{U}^{(0)} \otimes \bar{U}^{(0)})\end{aligned}$$

Limit: Also time-consuming

# Computational Bottleneck

- High dimensional infinite integration in  $F$

4-D infinite  
integration

$$F_{nm}^{ik} = \langle A_{ik} A_{mn} \rangle - \bar{A}_{ik} \bar{A}_{mn}$$

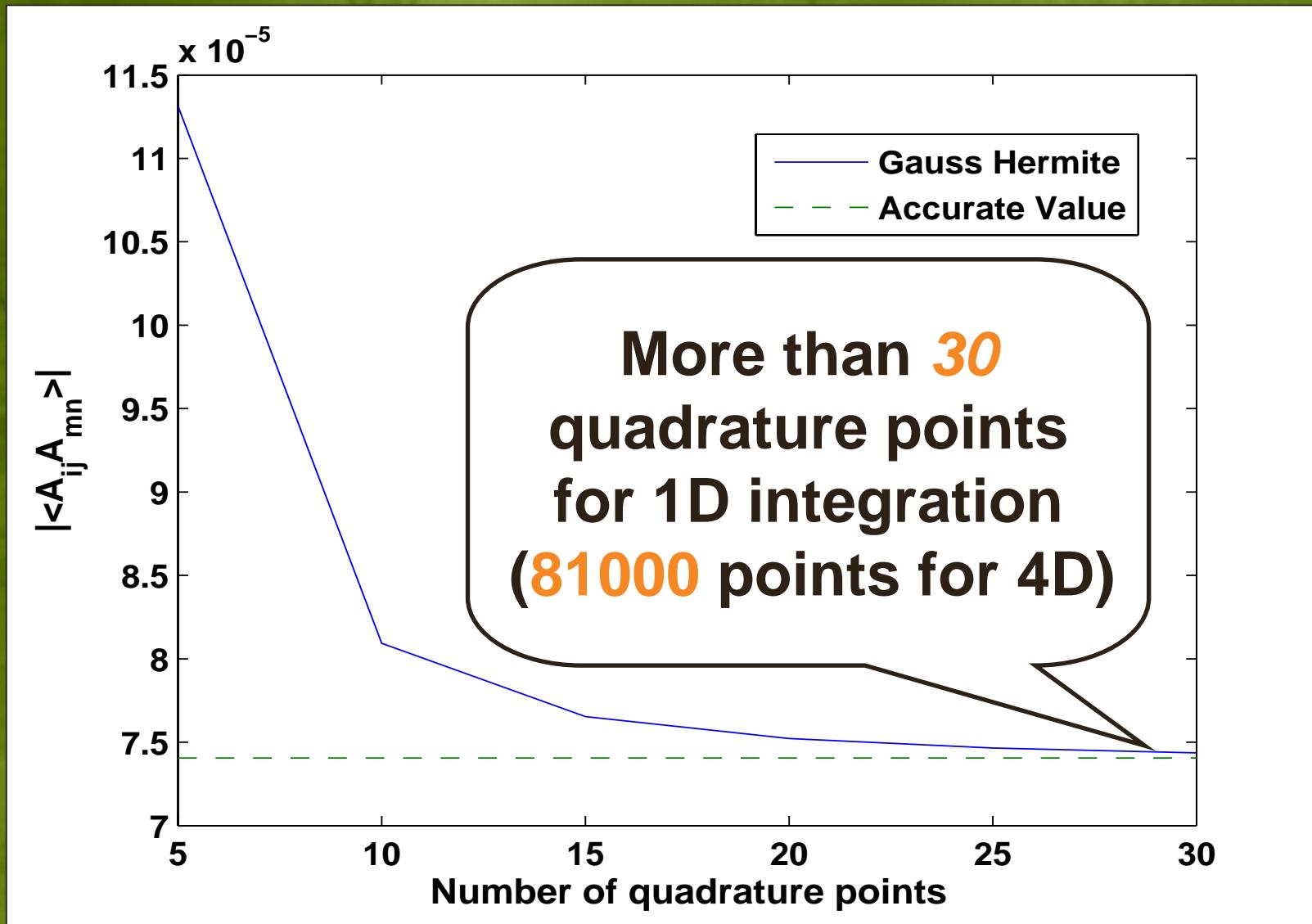
$$\langle A_{ik} A_{mn} \rangle = \iiint \int dy_i dy_k dy_m dy_n P_4(y_i, y_k, y_m, y_n) G(y_i, y_k) G(y_m, y_n)$$

- Standard technique: Gauss Hermite quadrature
  - Complexity grows exponentially with the integral dimension (Curse of dimensionality)

1 – dimension  $\rightarrow O(N)$

4 – dimension  $\rightarrow O(N^4)$

# No. of Points for Gauss Hermite Quadrature



# Improved Formulation of SIE (2D case)

Translation invariance of Green's function

$$G(y_i, y_k) = G(y_i, y_i + y_d) = G(0, y_d)$$

Thus  $G(y_i, y_j) = \hat{G}(y_d)$


$$\bar{A}_{ik} = \langle G(y_i, y_k) \rangle = \iint dy_i dy_k P_2(y_i, y_k) G(y_i, y_k) \quad (2D)$$


$$\bar{A}_{ik} = \langle \hat{G}(y_d) \rangle = \int dy_d P_{1d}(y_d) \hat{G}(y_d) \quad (1D)$$

$P_{1d}$  – Probability density function of  $y_d$

# Partial Probability Density Function

$$P_2(y_i, y_k) = \frac{1}{2\pi h^2 \sqrt{1-c^2}} \exp\left(-\frac{y_i^2 - 2cy_i y_k + y_k^2}{2h^2(1-c^2)}\right)$$



$$\tilde{P}_2(y_i, y_d) = \frac{1}{2\pi h^2 \sqrt{1-c^2}} \exp\left(-\frac{y_i^2 - 2cy_i(y_i + y_d) + (y_i + y_d)^2}{2h^2(1-c^2)}\right)$$



$$P_{1d}(y_d) = \int dy_i \tilde{P}_2(y_i, y_i + y_d)$$

$$= \frac{1}{2h\sqrt{\pi(1-c)}} \exp\left(\frac{-y_d^2}{4h^2(1-c)}\right)$$

# Improved Formulation of SIE (4D case)

$$\langle A_{ik} A_{mn} \rangle = \iiint \int dy_i dy_k dy_m dy_n P_4(y_i, y_k, y_m, y_n) G(y_i, y_k) G(y_m, y_n)$$

Let  $y_{d_1} = y_k - y_i$      $y_{d_2} = y_n - y_m$



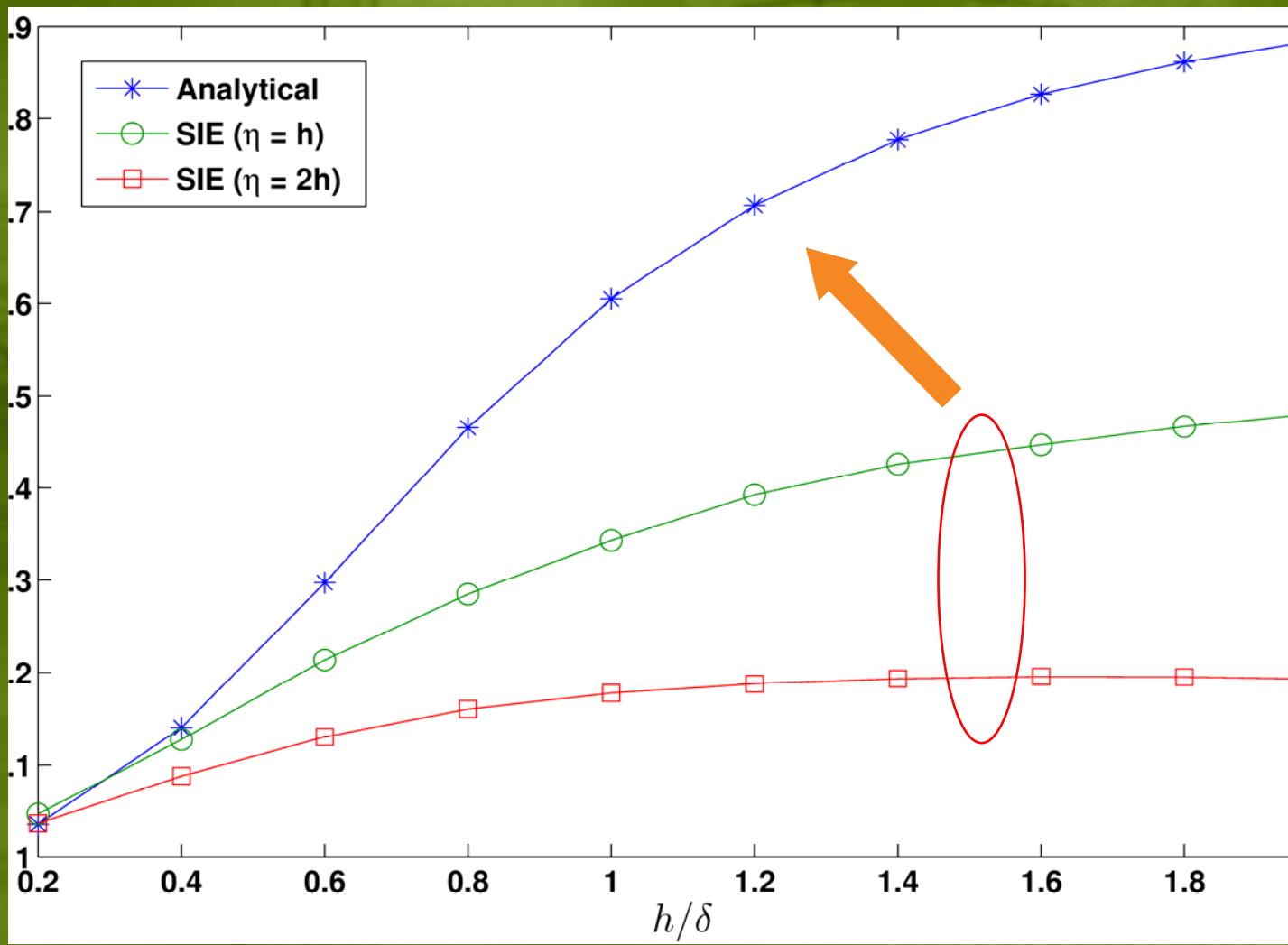
$$\langle A_{ik} A_{mn} \rangle = \iint dy_{d_1} dy_{d_2} P_{2d}(y_{d_1}, y_{d_2}) \hat{G}(y_{d_1}) \hat{G}(y_{d_2})$$

Where

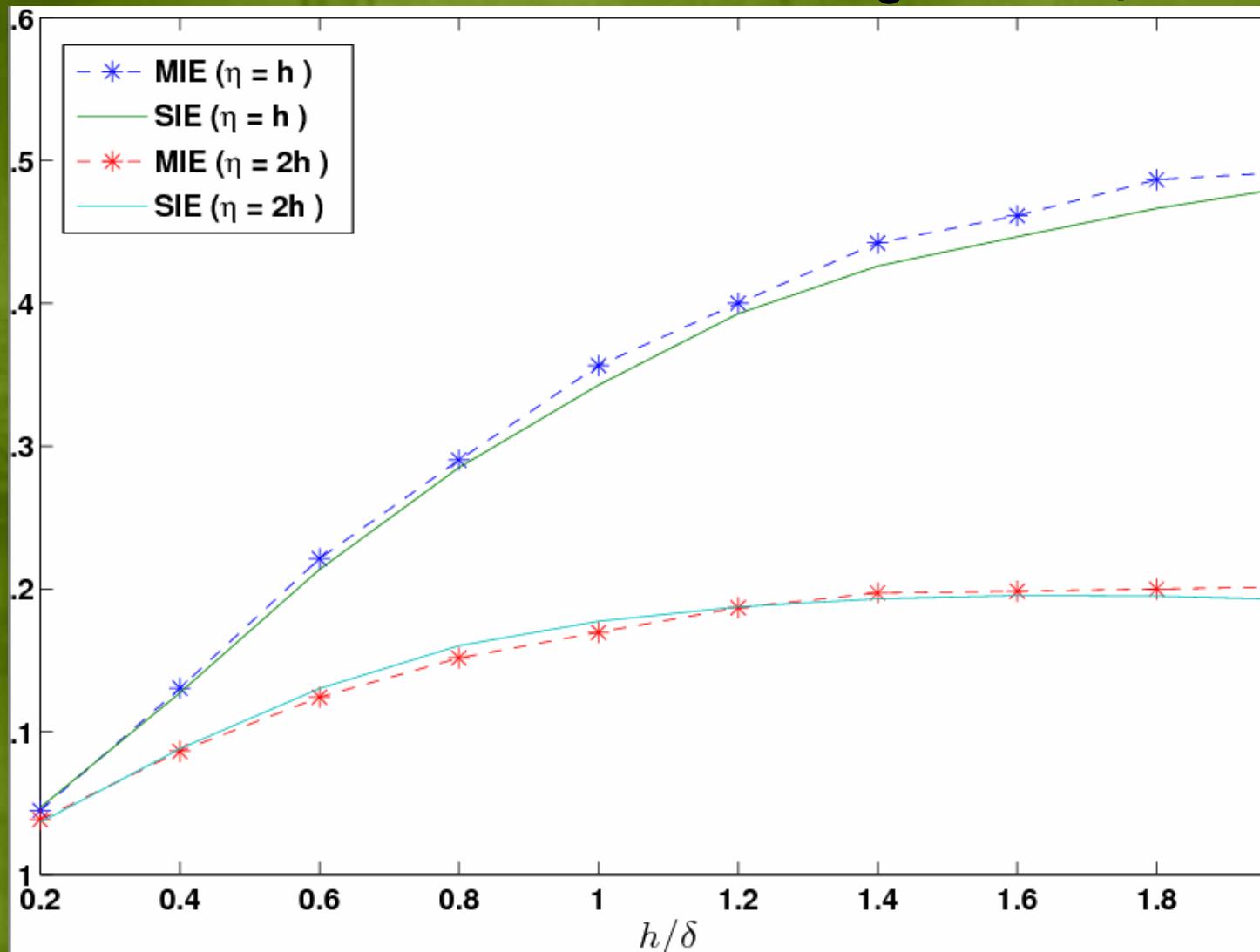
$$P_{2d}(y_{d_1}, y_{d_2}) = \int dy_{d_1} dy_{d_2} P_4(y_i, y_i + y_{d_1}, y_m, y_m + y_{d_2})$$

# Results

# Numerical vs Analytical Formulation (Different Correlation Length)

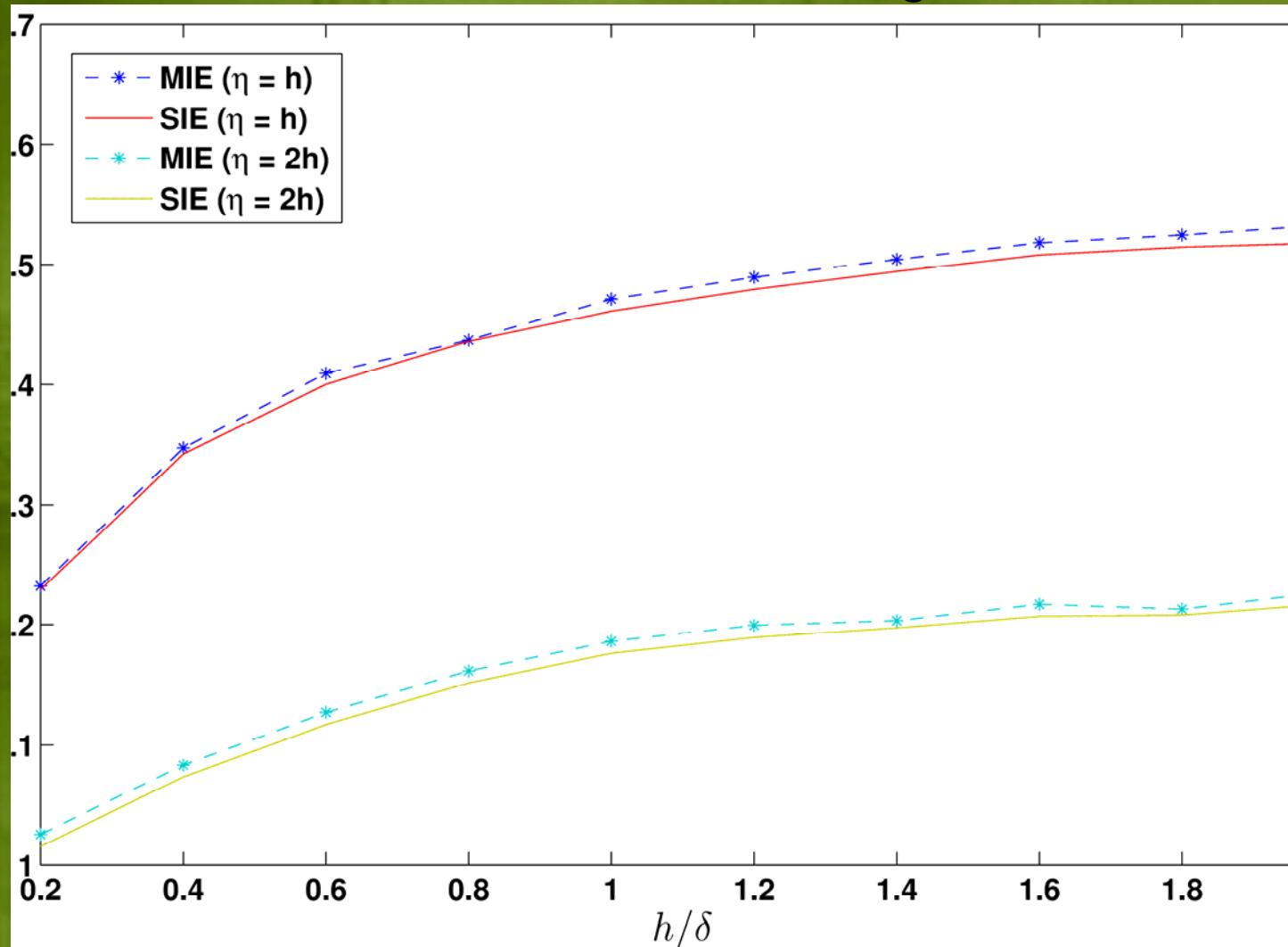


# SIE vs MIE (Mean $\rho_e$ Ratio)



Gaussian surface ( $\sigma = 1\mu\text{m}$ )

# SIE vs MIE (Mean $\mu_e$ Ratio)



Gaussian surface ( $\sigma = 1\mu\text{m}$ )

# CPU Time Comparison (Unit: second)

Method	$h / \delta = 1$	$h / \delta = 2$
MIE (1500run)	7160.3	14484.7
SIE (Original)	6367.3	6544.7
SIE (Modified)	198.7	200.3



(Gaussian rough surface ---  $\sigma=1 \mu m$ ,  $\eta=1 \mu m$ )

# Conclusion

An efficient numerical approach for  
modeling the impact of surface  
roughness on interconnect internal  
impedance

# Conclusion

- Numerical effective parameters
  - Model the rough surface effects on internal impedance
  - Take all statistical information into account
- Modified SIE method
  - One-pass solution for mean values
  - Halve infinite integral dimension by partial PDF formulation

*Thank you!*